

Foord Asset Management is required to maintain up-to-date identification information of our investors under the Anti-Money Laundering/Combating the Financing of Terrorism (“AML/CFT”) legislation. Foord investors are required to update their particulars periodically.

FORM INSTRUCTION:

1. Complete all sections and annexure 1 and 2 (where applicable) using BLOCK LETTERS.
2. Certifier should complete section F.
3. Email the completed form and all investor identification documentation listed in Annexure 3 to investments@foord.com.
4. Mail or courier the original documents to an agent office within 30 calendar days after the review of documents is completed. Redemption and transfer instruction will be delayed until the original documents are received. Any redemption monies awaiting payment will not bear any interest for the investor.
5. Foord Asset Management (Guernsey) Limited is the global distributor of the Foord global funds. Agent offices are maintained in Luxembourg, Singapore and South Africa. If you require assistance or further information, please contact the agents in any of these locations:

| | LUXEMBOURG | SINGAPORE | SOUTH AFRICA |
|-----------|--|--|---|
| Agent | RBC Investor Services Bank S.A. (for the attention of Foord ongoing due-diligence team) | Foord Asset Management (Singapore) Pte. Limited | Foord Unit Trusts (RF) (Pty) Limited |
| Address | 14, Porte de France, L-4360 Esch sur Alzette, Luxembourg | 9 Raffles Place, Republic Plaza, #18-03 Singapore 048619 | 8 Forest Mews, Forest Drive, Pinelands 7405, South Africa |
| Telephone | +352 2605 2200 | +65 6521 1100 | +27 21 532 6969 |

A. INVESTOR DETAILS

MAIN INVESTOR

Investor Number _____

Title _____ Other (please state) _____ First name(s) _____

Surname _____ Previous names (if any) _____

Place of birth (i.e. country) _____

Industry _____ Occupation and Employer _____

Permanent residence address _____

_____ Country _____ Postal code _____

Correspondence address (if different from above) _____

_____ Country _____ Postal code _____

Country(ies) of citizenship (LIST ALL COUNTRIES) _____

Passport or national identity number(s) _____ Passport expiry date _____

Telephone _____ Mobile _____

Email _____

Do you /did you hold any public office and/or perform a role with any political exposure?
 Yes No If yes, please provide details: _____

Do your / did your close relative you hold any public office and/or perform a role with any political exposure?
 Yes No If yes, please provide details: _____

Are you the ultimate economic beneficiary of the units being subscribed?
 Yes No If no, please identify the ultimate beneficial owner: _____

If this form is completed by any person on behalf of the main investor, that person should complete Annexure 1.
 To register a joint investor, please complete Annexure 2. Joint registration is only accepted for married persons.



B. TAX DECLARATIONS

MAIN INVESTOR SELF-CERTIFICATION

Regulations require Foord to collect tax residency information from investors. Information on your investment account may be shared with the relevant tax authorities based on your self-certification. Please note any US citizens are considered tax resident in the US irrespective of their actual country of residence.

COUNTRY/COUNTRIES OF TAX RESIDENCY _____

TAX REFERENCE NUMBER (E.G., TIN, UTR, NATIONAL INSURANCE NUMBER) _____

If applicable, please complete the information below:

Yes No Are you a US Person?

Yes No Are you a US Green Card holder?

Yes No Have you issued any Power of Attorney where signing authority is granted to a US Person?

C. INVESTOR BANK ACCOUNT

- NOTES
- Please provide details of the remitting bank account. Subscription payments from a third party's bank account are not permitted.
 - Subscriptions/ redemptions should be coming from/ paying to this bank account.
 - Verification of the identity of the bank account holder may be requested.
 - Fields marked with * are mandatory.

*Investor bank name _____ SWIFT code _____

*Investor bank account number or IBAN _____

* Investor bank account name _____

CORRESPONDENT BANK (intermediary bank, if applicable)

Correspondent bank name and address _____

SWIFT code _____ ABA/CHIPS (US\$ only)/Sort code/CHAPS (GBP only) _____

D. INVESTMENT FREQUENCY AND AMOUNT

- NOTES
- Please tick **the most** appropriate response in each of the following questions.
 - Please complete both source of funds **and** source of wealth declarations.

What is the expected number of subscriptions in any calendar year?

- 4 or less than 4 transactions
 5 to 10 transactions
 more than 10 transactions

What is the expected investment amount (in US\$)?

| | | | | |
|--|---|---|---|---|
| <input type="checkbox"/> \$250,000 or less | <input type="checkbox"/> \$250,001 to \$999,999 | <input type="checkbox"/> \$1million to \$3million | <input type="checkbox"/> \$3,000,0001 to \$5million | <input type="checkbox"/> more than \$5million (please specify: US\$_____) |
|--|---|---|---|---|

SOURCE OF FUNDS ("SOF") AND SOURCE OF WEALTH ("SOW") DECLARATIONS:

| | | |
|---|--|--|
| <input type="checkbox"/> Salaries and saving | Name of employer: _____ No. of years employed: _____ | <input type="checkbox"/> SOF declaration <input type="checkbox"/> SOW declaration |
| <input type="checkbox"/> Gift or inheritance | Detail: _____ Country of origin: _____ Date of receipt: _____ (dd/mmm/yyyy) Amount received: _____ (US\$) | <input type="checkbox"/> SOF declaration <input type="checkbox"/> SOW declaration |
| <input type="checkbox"/> Sale of property | Property name and location (country): _____ Date of sale: _____ (dd/mmm/yyyy) Sale proceeds: _____ (US\$) | <input type="checkbox"/> SOF declaration <input type="checkbox"/> SOW declaration |
| <input type="checkbox"/> Liquidation of investments including matured investments plan, withdrawal of pension funds | Investment Name: _____ Country of origin: _____ Date of divestment: _____ (dd/mmm/yyyy) Sale proceeds: _____ (US\$) | <input type="checkbox"/> SOF declaration <input type="checkbox"/> SOW declaration |
| <input type="checkbox"/> Other income type | Please describe: _____ Country of origin: _____ Date of receipt: _____ (dd/mmm/yyyy) Amount received: _____ (US\$) | <input type="checkbox"/> SOF declaration <input type="checkbox"/> SOW declaration |

Foord may request additional documents and information to verify the source of funds before accepting this dealing instruction.

E. INVESTOR DECLARATION

1. All information in this subscription form and submitted by me is true, accurate and complete. I will promptly inform Foord of any changes.
2. I have full power, authority, eligibility and permission to conclude this contract, with the necessary assistance where such assistance is a legal requirement.
3. I confirm that the money or assets to be invested are not the proceeds of any criminal act.
4. I confirm that I am tax compliant in the countries where I am a tax resident.
5. I have read and fully understood all the pages of this subscription form and the Offering Documents listed in Annexure 6 and I agree to the terms and conditions of this investment, as set out in the Offering Documents, as amended or supplemented by Foord.
6. I am aware of the charges and fees, the total expense ratio, investment objective and risk factors applicable to my investment in the fund(s) as set out in this subscription form, the latest factsheet (available at www.foord.com) and in other documentation provided to me. I know that there are no guarantees on my investment capital or the performance return of the investment.
7. I confirm that I am making an unsolicited offer to purchase units/shares in the fund(s) and that Foord has not provided any form of advice with regards to my investment in the Foord global funds.
8. I am aware that the country of domicile of the funds may not be my home country and that:
 - 8.1. The level of investor protection and safeguards may differ from my home country, and
 - 8.2. I may be exposed to further tax implications, currency risks and/ or incur additional transaction costs related to my investment in the fund(s).
9. I consent to all personal data (including sensitive personal data) or other information provided by me to Foord and its related corporations and/or independent third parties/delegates and their respective employees being collected, used, disclosed or otherwise processed to enable each of them to carry out their respective duties and obligations in relation to my investment in the fund(s) for each of the purposes as set out in the relevant Prospectus or as may be permitted under the applicable personal data protection legislation. I agree that any information provided by me may be disclosed to any other person, to the extent required or permitted by law.
10. I authorise Foord to:
 - Accept this subscription form and all subsequent instructions if delivered by email and I hereby indemnify Foord against any resulting claim or loss;
 - Email contract notes and statements of account to the addresses specified in this form and/or subsequent instructions and I hereby indemnify Foord against any resulting claim or loss; and
 - Suspend redemptions on the account until Foord is in receipt of the original subscription form and all supporting documents.

Monthly Valuation Statements and Transaction Advices to be: Emailed and/or Posted Online access required

Please specify the email address: _____

SIGNATURES

MAIN INVESTOR

Signature _____

Name _____ Date _____

JOINT INVESTOR

Signature _____

Name _____ Date _____

AUTHORISED PERSON ACTING ON BEHALF OF INVESTOR

Signature _____

Name _____ Date _____

AUTHORISED PERSON ACTING ON BEHALF OF INVESTOR

Signature _____

Name _____ Date _____

F. CERTIFIER DECLARATION

- NOTES
- Examples of suitable certifier are an Accountant, a Notary Public, an independent legal professional, or a director/senior management employee of a regulated financial institution that is in AML/CFT equivalent country which is a member of the Financial Action Task Force (FATF).
 - Certified true copy should include the **suggested certification wordings** below. Otherwise, the certifier should complete Section F in addition to the provision of certified true copies.

FOR FACE-TO-FACE VERIFICATION OF THE INVESTOR(S):

- I confirm that I have seen the photo identification document ("ID") of the investor and the copy documentation represents a complete and accurate copy of the original identity documentation and is a true likeness of the person whom I have met.
- For verification of specimen signature, I confirm that the signature signed in my presence is the genuine signature of the photo ID holder.

Date _____

Signature _____

Full name _____ (First name) _____ (Surname)

Certifier's ID number _____

Professional title _____

Telephone _____

Email _____

FOR CERTIFICATION OF DOCUMENTS:

I confirm that I have seen the original documentation and that the copy documentation hereby provided represents a complete and accurate copy of the original.

Date _____

Signature _____

Full name _____ (First name) _____ (Surname)

Certifier's ID number _____

Professional title _____

Telephone _____

Email _____

ANNEXURE 1: PERSON ACTING ON BEHALF OF INVESTOR

- Please provide details of the person signing the application form on behalf of the investor.
- For a minor child (under 18 years), the application form should be completed and signed on the child's behalf by the parent or legal guardian.
Submit documentary evidence of the authorisation to act on behalf of the investor with this application form, such as Power of Attorney or proof of legal guardianship.

INDIVIDUAL AGENT DETAILS

Relationship with investor _____

Title _____ Other (please state) _____ First name(s) _____

Surname _____ Previous names (if any) _____

Place of birth (i.e. country) _____

Industry _____ Occupation and Employer _____

Permanent residence address _____

_____ Country _____ Postal code _____

Country(ies) of citizenship (LIST ALL COUNTRIES) _____

Passport or national identity number(s) _____ Passport expiry date _____

Telephone _____ Mobile _____

Email _____

Do you /did you hold any public office and/or perform a role with any political exposure?

Yes No If yes, please provide details: _____

Do your / did your close relative you hold any public office and/or perform a role with any political exposure?

Yes No If yes, please provide details: _____

CORPORATE AGENT DETAILS

Name _____

Type of institution _____

Date of establishment or incorporation _____ Incorporation number _____

Country of incorporation _____ Main business activity _____

Regulated entity? Yes No License number _____ Regulator _____

Address/Registered address (P O Boxes are not accepted for investment purposes) _____

_____ Country _____ Postal code _____

Correspondence address (if different from above) _____

_____ Country _____ Postal code _____

Contact name _____

Telephone _____ Mobile _____

Email _____

ANNEXURE 2: JOINT INVESTORS

- Joint investments are investments made jointly by two individual investors who are legally married.
- Investors to provide the marriage certificate.
- Source of funds must come from a bank account held by either one investor or both investors.
- Investors should consult their tax and legal advisors regarding the tax and estate planning consequences of joint ownership.
- Reporting to tax authorities in terms of obligatory automatic exchange of tax information programmes will be made for each joint investor as if they were the sole investor.

JOINT INVESTOR DETAILS

Investor Number _____

Title _____ Other (please state) _____ First name(s) _____

Surname _____ Previous names (if any) _____

Place of birth (i.e. country) _____

Industry _____ Occupation and Employer _____

Permanent residence address _____

Country _____ Postal code _____

Country(ies) of citizenship (LIST ALL COUNTRIES) _____

Passport or national identity number(s) _____ Passport expiry date _____

Telephone _____ Mobile _____

Email _____

Do you /did you hold any public office and/or perform a role with any political exposure?

Yes No If yes, please provide details: _____

Do your / did your close relative you hold any public office and/or perform a role with any political exposure?

Yes No If yes, please provide details: _____

Are you the ultimate economic beneficiary of the units being subscribed?

Yes No If no, please identify the ultimate beneficial owner: _____

JOINT INVESTOR TAX SELF-CERTIFICATION

| | |
|------------------------------------|--|
| COUNTRY/COUNTRIES OF TAX RESIDENCY | TAX REFERENCE NUMBER (E.G., TIN, UTR, NATIONAL INSURANCE NUMBER) |
| _____ | _____ |
| _____ | _____ |

TAX DECLARATIONS

Where applicable please complete the information below:

- Yes No Are you a US person?
- Yes No Are you a US Green Card holder?
- Yes No Have you issued any Power of Attorney where signing authority is granted to a US Person?

INSTRUCTION ELECTION

Joint-Alternate signatory: For a subsequent subscription, redemption or transfer of units, Foord will act on instructions signed by any ONE of the parties.

Joint-All signatory: For a subsequent subscription, redemption or transfer of units, Foord will act on instructions signed by BOTH parties.

Joint-Alternate signatory (either/or) Joint-All (and)

ANNEXURE 3: INVESTOR IDENTIFICATION DOCUMENTS

Foord must verify the identity of its investors. To comply with Anti-Money Laundering (“AML”) and Countering the Financing of Terrorism (“CFT”) legislation, all supporting identification documents must be submitted to Foord:

1. INDIVIDUALS

- 1.1. Photo identification: An original certified true copy of passport photo page, driving licence or identity card, preferably in colour, showing clear photo identification.
 - (i) Expired ID will not be accepted.
 - (ii) Where the photo ID does not reflect a specimen signature, the investor must sign the copy in the presence of the certifier, who must confirm that the signature is the genuine signature of the photo ID holder. Certifier to complete Section F.
- 1.2. Residential address: A recent utility bill (not older than 90 days) as proof of residential address.
 - (i) Mobile phone bills and credit card statements will not be accepted for this purpose.
- 1.3. Bank account: A recent bank statement (not older than 90 days) as proof of the bank account stated in Section C.

For documents 1.2 and 1.3,

- (i) If received in hard-copy, submit either the original or a certified true copy.
- (ii) If received electronically, attach the original electronic correspondence and digital copy to your email correspondence with our agents.

2. TRANSLATION AND CERTIFICATION OF DOCUMENTS

- 2.1. Please provide a certified English translation of foreign language documents.
- 2.2. Document copies must be certified by a suitable certifier, such as an Accountant, a Notary Public, an independent legal professional, or a director/senior management employee of a regulated financial institution in an AML/CFT equivalent country which is also a member of the Financial Action Task Force (FATF).
- 2.3. Certifier to complete Section F of this form. Alternatively, please visit an agent office in-person for face-to-face verification.